

GOVERNANCE AND AUDIT COMMITTEE – ACTION SHEETPresented Monday 25th January 2023

27 th January 2021				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
25.	IA Progress Report	To circulate an update on the position on school funds.	L Brownbill	This will be provided to the committee once the school review on school funds has been completed during quarter 4 of 2022/23.

24 th November 2021				
Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
39.	Governance and Audit Committee Self-Assessment	That preparations for the Annual Report should incorporate a review of the Committee's Terms of Reference to ensure that the remit was being met in full.	L Brownbill	This has been undertaken as part of the Committees assessment of their effectiveness.
39.	Governance and Audit Committee Self-Assessment	That the outcomes and training requirements identified in the action plan from the self-assessment be noted and progressed.	L Brownbill	A training programme has been developed and updated and will be reviewed on a regular basis.

14th March 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
59.	External Regulation Assurance	To include progress on external actions in the Annual Report and AGS process, and to include an appendix to future External Regulation Reports.	L Brownbill	Process updated for Internal Audit Annual Report, AGS and Reporting on External Regulations.

6th June 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
11.	Drury PS – Financial Management Arrangements	A follow up report to be scheduled for January 2023	L Brownbill	A report on the progress made by Drury PS will be presented to GAC in January 2023

28th September 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
26.	AW Review of Commissioning Older People's Care Home Placements by NW Councils and BCUHB	<p>That the Committee notes the agreed actions in the Management Response to address these recommendations and receives regular updates on the implementation of the actions going forward.</p> <p>That the updates include any actions by Welsh Government, with timescales, as part of the national report by Audit Wales.</p>	L Brownbill Audit Wales	The service will attend March committee to provide an update on the progress made against the findings within the report.

14th November 2022

Agenda Item No.	Report	Action Required	Responsible Officer	Action Taken
36.	Treasury Mgt mid-year review 2022/23 and Q2 update	<p>That the Committee notes the draft Treasury Management Mid-Year Report 2022/23 and confirms the following matters to be drawn to the attention of Cabinet at its meeting in December 2022:</p> <ul style="list-style-type: none"> • To note compliance with the Council's approved prudential indicators; • Key considerations informing borrowing decisions; and • Stability of PWLB rates which had been subject to fluctuation. 	G Ferguson / C Taylor	Cabinet considered and approved the report on 20 December 2022
36.	Treasury Mgt mid-year review 2022/23 and Q2 update	To share details of credit agency ratings for each of the Council's investment counterparties with the Committee.	G Ferguson / C Taylor	Members were provided with this information on 8 December 2022
37.	Asset Disposal and Capital Receipts generated 2021/22	To share further details of disposals in Appendix 1 with the Committee, on a confidential basis.	N Cockerton / C Taylor	Members were provided with this information on 8 December 2022
38.	Financial Procedure Rules	<p>To respond to the Committee on Cllr Banks' questions:</p> <ul style="list-style-type: none"> • Clarify 'true copy' on p.41(h) of the FPRs • Clarify the change to (d) under Key Controls on p.38 	S Dulson	Members were provided with a response to this enquiry on 22 November 2022
39.	Risk Management Framework	To update the framework document to include (a) the Committee referring red risk actions to OSCs, and (b) the inclusion	L Brownbill	The Risk Management framework has been updated, the audit action closed

		of partnership risks being fed into InPhase.		down and made available of the Council's Infonet.
39.	Risk Management Framework	To share dashboard information with the Committee at the January meeting.	L Brownbill	Due to a lack of technical resources within the team this has been moved to March committee.